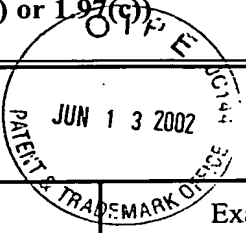
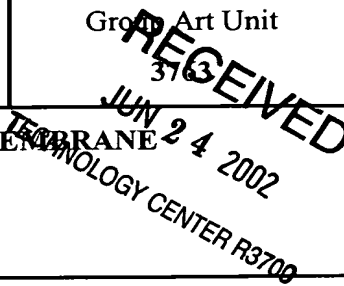


#3

<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> (Under 37 CFR 1.97 (b) or 1.97(c))			Docket No. 001/062 USA
In Re Application Of: <b>ALIBERTO et al.</b>			
Serial No. 10/057,334	Filing Date January 23, 2002	Examiner Not Yet Assigned	Group Art Unit 3760
Title: <b>CENTRAL VENOUS CATHETER WITH HEAT EXCHANGE MEMBRANE</b>			
Address to: Assistant Commissioner for Patents Washington, D.C. 20231			
<p style="text-align: center;"><b>37 CFR 1.97(b)</b></p> <p>1. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national state as set forth in 37 CFR 1.491 in a international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.</p> <p style="text-align: center;"><b>37 CFR 1.97(c)</b></p> <p>2. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:</p> <p style="padding-left: 40px;"><input type="checkbox"/> the statement specified in 37 CFR 1.97(e)</p> <p style="text-align: center;"><b>OR</b></p> <p style="padding-left: 40px;"><input checked="" type="checkbox"/> the fee set for in 37 CFR 1.17(p).</p>			

<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> (Under 37 CFR 1.97 (b) or 1.97(c))			Docket No. <b>001/062 USA</b>
In Re Application Of: <b>ALIBERTO et al.</b>			
Serial No. <b>10/057,334</b>	Filing Date <b>January 23, 2002</b>	Examiner <b>Not Yet Assigned</b>	Group Art Unit <b>3763</b>
Title: <b>CENTRAL VENOUS CATHETER WITH HEAT EXCHANGE MEMBRANE</b>			

**Payment of Fee**  
(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

☒ A check in the amount of **\$180.00** is attached

☒ The Assistant Commissioner is hereby authorized to charge and credit  
Deposit Account Number **501167** as described below.

*A duplicate copy of this sheet is enclosed.*

☐ Charge the amount of \_\_\_\_\_

☒ Credit any overpayment

☒ Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office.  
(Facsimile Number \_\_\_\_\_)

(Date)

Signature

Name of Person Signing Certificate

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited with the U.S. Postal Service as first class mail under 37 CFR 1.8 on the date shown below and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

6/7/2002  
(Date)

*Kami Leonard*  
Signature of Person Mailing Correspondence


*Kami Leonard*  
Name of Person Mailing Certificate

*\*This certificate may only be used if paying by deposit account.*

*Arlyn L. Alonzo*  
Signature

**Arlyn L. Alonzo, Esq. (Reg. No. 44, 502)**  
**15770 Laguna Canyon Road, Suite 150**  
**Irvine, California 92618**  
**(949) 453-0150, extension 146**

Dated: **7 June 2002**

  
**29554**  
 PATENT TRADEMARK OFFICE



Under the Paperwork Reduction Act of 1995, no persons required to respond to a collection of information unless it contains a valid OMB control Number



Substitute for form 1449/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				Complete if Known	
				Application Number	10/057,334
				Filing Date	January 23, 2002
				First Named Inventor	Aliberto, Anthony
				Group Art Number	3763
				Examiner Name	Not Yet Assigned
				Attorney Docket Number	001/062 USA
Sheet	1	of	1		

[illegible][illegible]

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST 3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

\*Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. \*Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.**



Patent  
001/062 USA

83763

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

ALIBERTO ET AL.

Serial No.: 10/057,334

Filed: JANUARY 23, 2002

For: CENTRAL VENOUS CATHETER WITH HEAT  
EXCHANGE MEMBRANE

Group Art: 3763

RECEIVED  
JUN 24 2002  
TECHNOLOGY CENTER R3700

TRANSMITTAL LETTER

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Enclosed please the following:

- Transmittal of Information Disclosure Statement
- Information Disclosure Statement by Applicant
- Check
- Return Postcard

Respectfully submitted,

Dated:

7 June 2002

Arlyn L. Alonzo Esq.  
Reg. No. 44,502



29554

PATENT TRADEMARK OFFICE

ALSIUS CORPORATION  
15770 Laguna Canyon Road, Suite 150  
Irvine, CA 92618  
(949) 453-0150, extension 146

CERTIFICATE OF FIRST CLASS MAILING

I hereby certify that this document, together with any papers described as attached or enclosed, is being deposited with the United States Postal Service, "FIRST CLASS MAILING" service on the date indicated below and is addressed to Assistant Commissioner for Patents, Washington, D.C. 20231:

6/7/2002  
Date of Deposit

Kami Leonard  
Name of Person Depositing Paper  
Signature of Person Depositing Paper